2785

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Application No. 09/539,344 TRANSMITTAL FORM Filing Date March 31, 2000 (to be used for all correspondence after initial filing) First Named Inventor Carl M. Ellison RECEIVED Group Art Unit 2785 **Examiner Name** Unassigned Total Number of Pages in This Submission 27 42P8098 Attorney Docket Number **ENCLOSURES** (check all that apply) After Allowance Communication to Group Assignment Papers (for an Application) Fee Transmittal Form Appeal Communication to Board of Appeals and Interferences Fee Attached Drawing(s) Appeal Communication to Group Amendment / Response Licensing-related Papers (Appeal Notice, Brief, Reply Brief) After Final Petition Proprietary Information Affidavits/declaration(s) Petition to Convert a Provisional Application Status Letter **Extension of Time Request** Other Enclosure(s) Power of Attorney, Revocation Change of Correspondence Address (please identify below): **Express Abandonment Request** Terminal Disclaimer PTO/SB/08 Information Disclosure Statement 20 Cited References Request for Refund Certified Copy of Priority Document(s) Response to Missing Parts/ CD, Number of CD(s) Incomplete Application Remarks Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm William W. Schaal, Reg. No. 39,018 Individual name BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP Signature April 5, 2002 Date **CERTIFICATE OF MAILING/TRANSMISSION** I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: April 5, 2002 Typed or printed name Corrinn R. Reynolds April 5, 2002 Signature

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO/SB/17 (11-01)

Approved for use through 10/31/2002. OMB 0551-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL %	Complete if Known				
FEE I KANSIVIII FAL	Application Number	09/539,344			
for FY 2002	Filing Date	March 31, 2000			
Patent fees are subject to annual revision.	First Named Inventor	Carl M. Ellison			
Applicant claims small entity status. See 37 CFR 1.27.	Examiner Name	Unassigned RECEIVE			
2000	Group/Art Unit	2785 1 2002			
TOTAL AMOUNT OF PAYMENT (\$)	Attorney Docket No.	42P8098 APR 1			

METHOD OF PAYMENT (check one)				FE	E CALCULATION (continued)	Ceuter 3
	3. A	DDITIO	NAL	FEES	100	
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None	Large	Entity	ı Sma	II Entity		
Deposit Account	Fee	Fee	Fee	Fee	-	
Deposit	Code	(\$)	Code	(\$)	Fee Description	Fee Paid
Account Number 02-2666	105	130	205	65	Surcharge - late filing fee or oath	
Deposit	127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
Account Name Blakely, Sokoloff, Taylor & Zafman LLP	139	130	139	130	Non-English specification	
The Commissioner is authorized to: ( check all that apply)	147	2,520	147	2,520	For filling a request for ex parte reexamination	
Charge fee(s) indicated below Credit any overpayments	112	920 *	112	920 *	Requesting publication of SIR prior to	
					Examiner action	
Charge any additional fee(s) during the pendency of the application	113	1,840 *	113	1,840 *	Requesting publication of SIR after Examiner action	
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account	446	440	245	55	Extension for reply within first month	
······································	115	110	215		Extension for reply within second month	
FEE CALCULATION	116	400	216	200	· •	
1. BASIC FILING FEE	117	920	217	460	Extension for reply within third month	
Large Entity Small Entity  Fee Fee Fee Fee Fee Fee Description Fee Paid	118	1,440	218	720	Extension for reply within fourth month	
Code (\$) Code (\$)	120	1,960	228	980	Extension for reply within fifth month	
101 740 201 370 Utility filing fee .	7 119	320	219	160	Notice of Appeal	
106 330 206 165 Design filing fee	120	320	220	160	Filing a brief in support of an appeal	
107 510 207 255 Plant filing fee	121	280	221	140	Request for oral hearing	
108 740 208 370 Reissue filing fee	138	1,510	138	1,510	Petition to institute a public use proceeding	
114 160 214 80 Provisional filing fee	140	110	240	55	Petition to revive - unavoidable	
SUBTOTAL (1) (\$)	141	1,280	241	640	Petition to revive - unintentional	
<u> </u>	142	1,280	242	640	Utility issue fee (or reissue)	
2. EXTRA CLAIM FEES Extra Fee from	143	460	243	230	Design issue fee	
Ctaims below Fee Paid	144	620	244	310	Plant issue fee	
ndependent X	122	130	122	130	Petitions to the Commissioner	
Claims X	123	50	123	50	Processing fee under 37 CFR 1.17(q)	
fultiple Dependent	126	180	126	180	Submission of Information Disclosure Stmt	
Large Entity Small Entity	581	40	581	40	Recording each patent assignment per property (times number of properties)	<b> </b>
Fee Fee Fee Fee <u>Fee Description</u> Code (\$) Code (\$)						
103 18 203 9 Claims in excess of 20	146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
102 84 202 42 Independent claims in excess of 3	149	740	249	370	For each additional invention to be	
104 280 204 140 Multiple Dependent claim, if not paid					examined (37 CFR § 1.129(b))	
109 84 209 42 **Reissue independent claims over original	179	740	279	370	Request for Continued Examination (RCE)	
patent	169	900	169	900	Request for expedited examination of a design application	
110 18 210 9 **Reissue claims in excess of 20 and over original patent	Other fe	e (specify)	ı		or a design application	
SUBTOTAL (2) (\$)	٦l				L	
or number previously paid, if greater, For Reissues, see below	- Reduced	by Basic Film	g Fee Pai	d	SUBTOTAL (3) (\$)	
SUBMITTED BY					Complete (if applicab	
JODINI I I ED DI					Complete (ii applicab	IC)

| SUBMITTED BY | Complete (if applicable) |
| Name (Print/Type) | William W. Schaal | Registration No. (Attomey/Agent) | 39,018 | Telephone | (714) 557-3800 |
| Signature | Date | 04/05/02

WARNING:/Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2039.